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PATENT  
25863.00120  
MAY 07 2002  
TECH CENTER 1600/2300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Randy Ziegler

Serial No: 09/967,030

Filed: September 27, 2001

For: COMPOSITIONS AND METHODS  
FOR TREATMENT OF DIABETES

Group Art Unit: 1614

Examiner: (Not Assigned)

I hereby certify that this correspondence  
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Commissioner for Patents  
Washington D.C. 20231, on

March 13, 2002

Date of Deposit

Heather B. Del Bosco

Name

*Heather B. Del Bosco*

03/13/02

Signature

Date

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Request is hereby made for correction of the filing receipt (copy enclosed)  
for the above-identified application. The "Domestic Priority data as claimed by  
applicant" should read as follows, "This application is a CIP of PCT/US00/08957  
04/04/2000 which claims benefit of 60/127,824 04/04/1999." .

No fee is required for this request. However if a fee should be required,  
please charge it to Deposit Account No. 50-1796 of the undersigned attorney's  
firm, referencing docket number 25863.00120. A copy of this Request is  
enclosed.

Respectfully submitted,

CROSBY, HEAFEY, ROACH & MAY

Date: March 13, 2002

By:

*Stefan J. Kuchanski*

Stefan J. Kuchanski

Registration No. 36,568

Attorney for Applicant(s)

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TECH CENTER 1600/2900

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/967,030	09/27/2001	1614	700	25863.00120	5	17	10

000028983  
CROSBY HEAFEY ROACH & MAY  
1901 AVENUE OF THE STARS, SUITE 700  
LOS ANGELES, CA 90067

CONFIRMATION NO. 4807

UPDATED FILING RECEIPT



\*OC000000007557756\*

Date Mailed: 02/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Randy H. Ziegler, Costa Mesa, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CIP OF PCT/US00/08957 04/04/2000  
WHICH CLAIMS BENEFIT OF 60/127,824 04/05/1999 \*

(\*) Data inconsistent with PTO records.

**Foreign Applications**

If Required, Foreign Filing License Granted 10/26/2001

Projected Publication Date: 06/06/2002

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

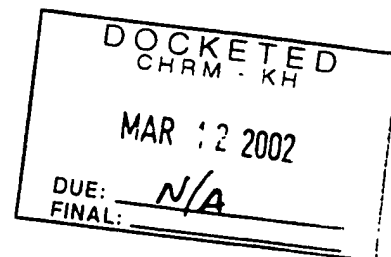
Compositions and methods for treatment of diabetes

**Preliminary Class**

514

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. FEE NO./TITLE
09/967,030	09/27/2001	Randy H. Ziegler	25863.00120

000028983  
CROSBY HEAFEY ROACH & MAY  
1901 AVENUE OF THE STARS, SUITE 700  
LOS ANGELES, CA 90067

FILE COPY  
CONFIRMATION NO. 4807  
\*OC000000008026634\*

Date Mailed: 05/06/2002

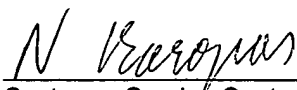
RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☐ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☒ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.



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